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<u> </u>		S&H Form: PTO/SB/17 (2/01)				
RESPONSE TO NOTICE TO FILE	Attorney Docket No.	1185.1059				
MISSING PARTS	Application Number	09/893,444				
	Filing Date	June 29, 2001				
	First Named Inventor	Shingo OHKAWA				
	Group Art Unit					
Title: LIGHT GUIDE PLATE, SURFACE LIGHT SOURCE DEVICE AND DISPLAY						
Pursuant to 37 C.F.R. § 1.53(f) and in resp Notice to File Missing Parts of Nonprovisional above-identified application, enclosed are the	Application mailed <u>Aug</u> following:	ust 21, 2001 for the				
RETURN COPY of Notice to File Missir	ng Parts of Nonprovisio	nal Application				
Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.						
☐ Formal Drawings (Sheets; Figs	_)					
☑ English-language translation of application (with Translator's Statement (pages))						
☐ Verified Statement Claiming Small Entity Status						
Enclosed is a payment of the following:						
☐ The filing fee as set forth in 37 C.F.R. §	\$					
☐ The additional claim(s) fee ( claims over	\$					
☐ The additional independent claim(s) fee	\$					
☐ Multiple dependent claims	\$					
☐ Petition for Extension of Time (month)	\$					
☐ English language translation fee	\$					
⊠ Surcharge as set forth in 37 C.F.R. § 1.1	\$130.00					
SUBT	\$130.00					
Reduction by 50% for filing by small entit	.00					
SUBT	\$130.00					
TOTAL	L FEES DUE:	\$130.00				

Since the Notice to File Missing Parts of Nonprovisional Application set an <u>original</u> due date of _, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):						
METHOD OF PAYMENT						
	Check enclosed as payment.					
	Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.					
AUTHORIZATION						
	If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:					
Deposit Account No.: 19-3935						
Deposit Account Name: STAAS & HALSEY LLP						
SUBMITTED BY: STAAS & HALSEY LLP						
Typed	d Name	James D. Halsey, Jr.	Reg. No.	22,729		
Signa	ture		Date	October 15, 2001		

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Shingo OHKAWA

U. S. Application Serial No. Filing Date: June 28, 2001

For: GUIDE PLATE, SURFACE LIGHT SOURCE DEVICE AND LIQUID

CRYSTAL DISPLAY

#### **VERIFICATION OF TRANSLATION**

Honorable Commissioner of Patents and Trademarks Washington, D. C. 20231

Sir:

I, Takahiro UOZUMI, residing at c/o AIWA INTERNATIONAL PATENT AGENCY, Toranomon 19 Mori Bldg., 6F., No.2-20, Toranomon 1-chome, Minato-ku, Tokyo, Japan, declare:

- (1) that I know well both Japanese and English languages;
- (2) that I translated the above-identified U.S. Patent Application from Japanese to English;
- (3) that the attached English translation is a true and correct translation of the above-identified U.S. Patent Application to the best of my knowledge and belief; and
- (4) that all statements made of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements and the like are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

Takahiro UOZUMI

Date: October 10, 2001

Serial No.: 09/893,444 Docket No.: 1185.1059

If there are any additional fees associated with filing of this Amendment, please charge the same to our Deposit Account No. 19-3935.

Respectfully submitted,

STAAS & HALSEY LLP

Date: 10/0

By:

James D./Halsey, Jr.

Registration No. 22,729

700 Eleventh Street, NW, Suite 500 Washington, D.C. 20001 (202) 434-1500

Serial No.: 09/893,444 Docket No.: 1185.1059

## **VERSION WITH MARKINGS TO SHOW CHANGES MADE**

## IN THE SPECIFICATION:

Please DELETE the paragraph beginning at page 9, lines 16-20 as follows:

[The present invention realizes the above objects by forming a great number of projection rows, which diversifies routes of emission, on an emissions face of a light guide plate that is employed in the above proposed idea (of the above patent application) based on direction-conversion through inner reflections effected twice by inner surfaces of micro-reflectors.]



# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/893,444

06/29/2001

Shingo Ohkawa

1185,1059

21171 STAAS & HALSEY LLP 700 11TH STREET, NW SUITE 500 WASHINGTON, DC 20001



**CONFIRMATION NO. 6356 FORMALITIES LETTER** \*OC000000006454784\*

Date Mailed: 08/21/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

📳 An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English. translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i). unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 130.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Substitute drawings in compliance with 37 CFR 1.84 because:

11/29/2001 SSALEEKU 00000005 193935 09893444

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130.00 CH drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

11/29/2001 SSALEEKU 00000003 193935 09893444

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11/29/2001 SSALEEKU 00000004 193935

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01 FC:104

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270.00 CH

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE